

ONLINE RESPONSIBLE MANAGER TRAINING

THIS TRAINING IS FOR:

- **Responsible managers**
- **Compliance Managers** who need to understand the role of Responsible Managers
- People **nominated as Responsible Managers** (but who are required by ASIC to undertake training like this before ASIC will allow them to be Responsible Managers).

THE COURSE IS INTERACTIVE. IT COVERS:

- An overview of a Responsible Manager's **role**
- A concise summary of the fundamental features of the **financial services reform regime**.
- Consideration of **licensee obligations**,
- Your organisation's AFS **licence authorisations** (if applicable)
- Whether **personal liability** attaches to your role as an RM
- **Breach** identification, assessment and reporting

Participate in an online training experience, where the information "sticks".


GENERAL INFORMATION


1. **When**
2-5pm on Wednesday 5 May 2010. Upon registration you will find an email with the online training login details
2. **Cost**
\$500 pp (inc GST)
3. **Trainer**
Paul Derham
(Compact-Compliance & Corporate Training
www.compliance-training.com.au)
4. **Course Format**
It's online but it's interactive. Participants will learn through a mix of theory and practice. Training includes presentation, interaction, polls, the provision of a manual and simulated tasks.
5. **Course Inclusions**
Manual, certificate of completion, fixed-line telephone dial-in.
6. **Registration**
Complete the registration form on the following page and return it via email, fax or post. Registrations close 2 days prior to course. Places are restricted to encourage lively discussion (via an instant-messaging and polling process, which is part of the online screen you will be learning from).
7. **Subject to Change**
Compact reserves the right to make changes to course dates, venue and any other matter in relation to course delivery.
8. **Cancellations**
Registration may be transferred to another person up until the date of training, with written notice, and without incurring any additional fee. Cancellations prior to 2 days before will attract a cancellation fee of \$200. Cancellations within 1 day of the course will require payment of the full course fee.

* Please photocopy this form for multiple registrations.

Training Courses REGISTRATION & TAX INVOICE (ABN 30 339 960 335)

Please fill in the details below and return to *Compact – Compliance & Corporate Training*.

 F – 03 9670 5499

 M – GPO Box 3045, Melbourne, VIC 3001

 E – robyn@compliance-training.com.au

 P – Contact Robyn on (03) 9670 8056

Payment Details

2. Your full Name: _____

3. Company Name: _____

4. AFSL licensee no: *(if app.)* _____

5. Contact number/s: _____

6. Email address: _____

7. Postal address: _____

8. *Payment: Amount: _____ (*Registration will only be confirmed upon payment.)

- Cheque enclosed (payable to 'Compact')
- Money transfer (BSB: 633 000, a/c: 1255 48297, ref: your name)
- Credit card *Please circle:* MASTERCARD / VISA

Card no. _____ Exp __ / __

CC Auth. no. _____

Cardholder name:

Cardholder signature: