

ONLINE RESPONSIBLE MANAGER TRAINING

THIS TRAINING IS FOR:

- **Responsible managers**
- **Compliance Managers** who need to understand the role of Responsible Managers
- People **nominated** as **Responsible Managers** (but who are required by ASIC to undertake training like this before ASIC will allow them to be Responsible Managers).

THE COURSE IS INTERACTIVE. IT COVERS:

- An overview of a Responsible Manager's **obligations**
- A concise summary of the fundamental features of the **financial services reform regime**.
- Consideration of RM-relevant **licensee obligations**,
- Your organisation's AFS **licence authorisations** (if applicable)
- Whether **personal liability** attaches to your role as an RM
- **Breach** identification, assessment and reporting

Participate in an online training experience, where the information "sticks".

GENERAL INFORMATION

- 1. Cost**
\$500 pp (inc GST)
- 2. Trainer**
Paul Derham
(Compact-Compliance & Corporate Training
www.compliance-training.com.au)
- 3. Course Format**
It's online but it's interactive. Participants will learn through a mix of theory and practice. Training includes presentation, interaction, polls, the provision of a manual and simulated tasks.
- 4. Course Inclusions**
Manual, certificate of completion, conference call facility.
- 5. Registration**
Complete the registration form on the following page and return it via email, fax or post. Registrations close 1 day prior to course. Places are restricted to encourage lively discussion (via an instant-messaging and polling process, which is part of the online screen you will be learning from).
- 6. Subject to Change**
Compact reserves the right to make changes to course dates, venue and any other matter in relation to course delivery.
- 7. Cancellations**
Registration may be transferred to another person up until the date of training, with written notice, and without incurring any additional fee. Cancellations prior to 1 day before will attract a cancellation fee of \$200. Cancellations within 1 day of the course will require payment of the full course fee.

* Please photocopy this form for multiple registrations.

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Training Courses
REGISTRATION & TAX INVOICE
(ABN 30 339 960 335)

Please fill in the details below and return to *Compact – Compliance & Corporate Training*.

F – 03 9670 5499
M – GPO Box 3045, Melbourne, VIC 3001

E – robyn@compliance-training.com.au
P – Contact Robyn on (03) 9670 8056

- Wednesday 23 June, 2pm – 5.00pm
- Wednesday 13 October 2pm – 5.00pm
- Thursday 9 December, 2pm – 5.00pm

Payment Details

2. Your full Name: _____

3. Company Name: _____

4. AFSL licensee no: (if app.) _____ 5. Contact number/s: _____

6. Email address: _____

7. Postal address: _____

8. *Payment: Amount: _____ (*Registration will only be confirmed upon payment.)

- Cheque enclosed (payable to 'Compact')
- Money transfer (BSB: 633 000, a/c: 1255 48297, ref: your name)
- Credit card *Please circle:* MASTERCARD / VISA

(Note: all credit card transactions will incur a 1.8% surcharge)

Card no. _____ Exp __ / __

CC Auth. no. ____

Cardholder name:

Cardholder signature: